# Characterization of carbonaceous aerosols outflow from India and Arabia: Biomass/biofuel burning and fossil fuel combustion

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Received 2 December 2002; revised 25 February 2003; accepted 11 March 2003; published 15 August 2003.

[1] A major objective of the Indian Ocean Experiment (INDOEX) involves the characterization of the extent and chemical composition of pollution outflow from the Indian Subcontinent during the winter monsoon. During this season, low-level flow from the continent transports pollutants over the Indian Ocean toward the Intertropical Convergence Zone (ITCZ). Traditional standardized aerosol particle chemical analysis, together with real-time single particle and fast-response gas-phase measurements provided characterization of the sampled aerosol chemical properties. The gas- and particle-phase chemical compositions of encountered air parcels changed according to their geographic origin, which was traced by back trajectory analysis. The temporal evolutions of acetonitrile, a long-lived specific tracer for biomass/biofuel burning, number concentration of submicrometer carbon-containing particles with potassium (indicative of combustion sources), and mass concentration of submicrometer non-seasalt (nss) potassium are compared. High correlation coefficients ( $0.84 < r^2 < 0.92$ ) are determined for these comparisons indicating that most likely the majority of the species evolve from the same, related, or proximate sources. Aerosol and trace gas measurements provide evidence that emissions from fossil fuel and biomass/biofuel burning are subject to long-range transport, thereby contributing to anthropogenic pollution even in areas downwind of South Asia. Specifically, high concentrations of submicrometer nss potassium, carbon-containing particles with potassium, and acetonitrile are observed in air masses advected from the Indian subcontinent, indicating a strong impact of biomass/biofuel burning in India during the sampling periods (74 (±9)% biomass/biofuel contribution to submicrometer carbonaceous aerosol). In contrast, lower values for these same species were measured in air masses from the Arabian Peninsula, where dominance of fossil fuel combustion is suggested by results from single-particle analysis and supported by results from gas-phase measurements (63  $(\pm 9)$ )% fossil fuel contribution to submicrometer carbonaceous aerosol). Results presented here demonstrate the importance of simultaneous, detailed gas- and particle-phase measurements of related species when evaluating possible source contributions to aerosols in different regions of the world. INDEX TERMS: 0305 Atmospheric Composition and Structure: Aerosols and particles (0345, 4801); 0345 Atmospheric Composition and Structure: Pollution-urban and regional (0305); 0394 Atmospheric Composition and Structure: Instruments and techniques; KEYWORDS: INDOEX, aerosol chemical characterization, biomass burning, fossil fuel combustion

**Citation:** Guazzotti, S. A., et al., Characterization of carbonaceous aerosols outflow from India and Arabia: Biomass/biofuel burning and fossil fuel combustion, *J. Geophys. Res.*, *108*(D15), 4485, doi:10.1029/2002JD003277, 2003.

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#### 1. Introduction

[2] Anthropogenic activities influence the chemical composition of the atmospheric aerosol, therefore affecting climate, visibility, and human health. Knowledge of the chemical composition and size distribution of aerosol particles, as well as the chemical characteristics and mixing ratios of different species present in the gas phase, is essential for understanding atmospheric processing that affects the composition of aerosols as well as for identification of their sources. During the past few years, increases in the concentration of atmospheric aerosols due to anthropogenic activities have been the focus of many studies [e.g., IPCC, 1996; Schwartz, 1996; Schwartz and Andreae, 1996]. Almost five-fold increases in concentrations of certain species (e.g., nitrates, sulfates) have been indicated for regions downwind of the Indian Subcontinent in the last twenty years [Ball et al., 2003].

[3] Combustion processes such as biomass/biofuel burning and fossil fuel combustion are significant sources of anthropogenic aerosol particles and gases [e.g., Andreae and Crutzen, 1997; Yamasoe et al., 2000; Andreae and Merlet, 2001]. Particles emitted from these sources can affect the radiation balance due to their ability to reflect and absorb solar radiation (direct effect) and act as cloud condensation nuclei, therefore affecting cloud properties (indirect effect) [e.g., Twomey, 1977; Coakley and Cess, 1985; Desalmand et al., 1985; Hallet et al., 1989; Crutzen and Andreae, 1990; Andreae, 1991; Cachier and Ducret, 1991; Charlson et al., 1991; Kuhlbusch et al., 1996; Rosenfeld, 2000]. Emissions from biomass/biofuel burning and other combustion sources which emit black carbon can significantly heat the atmosphere [e.g., Crutzen and Andreae, 1990; Penner et al., 1992, 1993; Haywood and Shine, 1995, 1997; Iversen and Tarrason, 1995; Cooke and Wilson, 1996; Haywood et al., 1997; Haywood and Boucher, 2000; Iversen et al., 1998; Podgorny et al., 2000; Jacobson, 2001; Ramanathan et al., 2001]. Penner et al. [1992] have shown that the direct and indirect effects of smoke aerosols arising from biomass burning could be of comparable significance.

[4] Biomass fuels account for approximately 14% of the world's energy consumption [Hall et al., 1992], with much higher values being reported for developing countries. In India, biomass/biofuel burning (including wood, agricultural residues, and dung-cakes used as fuel) is considered to be a major source of energy [Ravindranath and Hall, 1995] and a considerable source of pollution [Hall et al., 1994; Dickerson et al., 2002]. High overall increases in the consumption of petroleum, biofuels, and coal have been reported for the Indian subcontinent. Up to 45% of global emissions of black carbon have been attributed to biomass/ biofuel burning [e.g., Kuhlbusch et al., 1996] with emissions from India [1990] estimated to account for 0.45-1 Tg yr<sup>-1</sup> [Reddy and Venkataraman, 2000]. Direct observations show that the emissions could be as high as 3 Tg yr<sup>-1</sup> [Dickerson et al., 2002].

[5] The Indian Ocean Experiment, INDOEX, was an integrated field campaign which had as a primary goal evaluating the significance of the direct and indirect effects of continental aerosols [*Ramanathan et al.*,

1995, 1996, 2001; Satheesh et al., 1999; Mitra, 1999]. Characterization of the extent and chemical composition of pollution outflow from the Indian subcontinent and evaluation of the significance of long-range transport of continental aerosols to remote regions in the Indian Ocean were of particular interest [Ramanathan et al., 1995, 1996; 2001]. Ramanathan et al. [2001] have highlighted, from results obtained during the INDOEX campaign, the impact of the Indo-Asian haze on global climate. The biomass/biofuel and fossil fuel contributions to this Indo-Asian haze have been reported to be under debate [UNEP, 2002]. The INDOEX intensive field phase (INDOEX-IFP) was carried out in February and March 1999, during the winter monsoon (NE-monsoon), when low level flow from the continent transports pollutants over the Indian Ocean toward the Intertropical Convergence Zone (ITCZ), where pristine southern hemisphere air masses meet with contrasting polluted continental air masses from the northern hemisphere. During the IFP, the contribution of anthropogenic aerosols to the total loading has been estimated to be as high as 80% over most of the sampled south Asian region and Northern Indian Ocean [Ramanathan et al., 2001].

[6] Several measurements were performed during the campaign on different platforms (for a detailed description see Lelieveld et al. [2001] and Ramanathan et al. [2001]). Results from aerosol particle and gas-phase measurements carried out during leg 2 of the INDOEX cruise on board of the NOAA Research Vessel (R/V) Ronald H. Brown are presented here. Chemical characterization of sampled aerosol particles included mass concentrations of submicrometer non-sea-salt (nss) potassium ( $K^+$ ), nss sulfate, black carbon (BC), organic carbon (OC), and number concentration of submicrometer carbon-containing particles with K<sup>+</sup>. In the gas-phase, the volume mixing ratio of acetonitrile (methyl cyanide, CH<sub>3</sub>CN) was measured. During the sampling period, the ITCZ was mostly located between the equator and 12°S [Ramanathan et al., 2001; Ball et al., 2003]. Detailed information on the R/V Ronald H. Brown cruise during INDOEX can be found in Ball et al. [2003]. The capability descriptions for this vessel are presented in Parsons and Dickerson [1999].

[7] Acetonitrile is regarded as a relatively long-lived, selective tracer for biomass/biofuel burning [Lobert et al., 1990; Bange and Williams, 2000], predominantly emitted by smoldering biomass fires [Lobert et al., 1990; Holzinger et al., 1999]. In the particle phase, black carbon BC in the submicrometer size range is used as a good general tracer for incomplete combustion from fossil fuel and biomass burning [e.g., Cachier et al., 1989], while nss K<sup>+</sup> is considered to be a good indicator for biomass/biofuel burning in submicrometer particles [e.g., Andreae, 1983; Cachier et al., 1991; Gaudichet et al., 1995; Andreae et al., 1996]. In particular, the relative contributions from biomass and fossil fuel emissions can be evaluated from the ratio between submicrometer nss K<sup>+</sup> and BC [Andreae, 1983]. From single particle measurements, submicrometer soot particles containing  $K^+$  have been indicated as possible tracers for biomass/biofuel burning [Gaudichet et al., 1995]. Singleparticle results obtained during INDOEX and combustion

source characterization experiments provide an indication of probable sources of the carbonaceous aerosol.

## 2. Experimental Setup

[8] The data presented herein were obtained from 4 March 1999 (Day of Year, DOY 63) until 23 March 1999 (DOY 82) during leg 2 of the NOAA R/V Ronald H. Brown 1999 INDOEX cruise. The cruise started in Male', the capital of the Republic of the Maldives, proceeding along the west coast of India and turning south on 11 March (DOY 70). The southern-most point during leg 2 (13°S) was reached on 19 March (DOY 78).

# 2.1. Submicrometer Non-Sea-Salt (nss) Potassium and nss Sulfate Mass Concentration

[9] Two independent research groups on board the NOAA R/V Ronald H. Brown, namely NOAA, Pacific Marine Environmental Laboratory (PMEL), Seattle, Washington and Department of Meteorology, University of Maryland, College Park (UMD), measured submicrometer nss K<sup>+</sup> and nss sulfate mass concentrations. PMEL used two-stage multijet cascade impactors [Berner et al., 1979] sampling air at 55% RH to determine the submicrometer ( $D_{50,aero} <$ 1.1  $\mu$ m) concentration of sulfate and K<sup>+</sup>. The impaction stage at the inlet of the impactor was coated with silicone grease to prevent the bounce of larger particles onto the downstream stages. A Millipore Fluoropore filter (1.0 µm pore size) was used for the submicrometer collection substrate. Filters were wetted with 1 mL of spectral grade methanol. An additional 5 mL aliquot of distilled deionized water was added to the solution and the substrates were extracted by sonicating for 30 min. The extracts were analyzed by ion chromatography [Quinn et al., 1998]. Blank levels were determined by loading an impactor with substrates but not drawing any air through them. In the case of UMD, two high volume Sierra impactors, one cascade and one bulk, were used to collect aerosol samples [Howell et al., 1998]. The cascade impactor consisted of five stages that segregated the total particular material into six size fractions. Slotted Whatman 41 filters were used as the impaction surfaces. The mean aerodynamic diameter for the stages reported here were 0.74, 0.48, and 0.24 µm [Pszenny, 1992]. A backup filter collected particles under 0.24 µm. The bulk impactor consisted of a 20 cm by 25 cm Whatman filter. After sampling, filters were placed into individual polyethylene bags and refrigerated. On alternate days, samples were analyzed on the ship as described by Quinn et al. [1998]. The remaining samples were analyzed upon return to the United States using the same method. Results presented here for UMD correspond to particles with mean aerodynamic diameters smaller than 0.74  $\mu$ m. In both cases, nss K<sup>+</sup> concentrations were calculated from Na<sup>+</sup> concentrations and the ratio of K<sup>+</sup> to sodium in seawater. Similarly, nss sulfate concentrations were evaluated from the measured sulfate concentrations and the corresponding sulfate-to-sodium ratio in seawater.

#### 2.2. Black Carbon/Organic Carbon (BC/OC) Mass Concentration

[10] Submicrometer particles in the range  $0.18 < D_p < 1.1 \mu m$  were collected using a three-stage multijet cascade impactor [*Berner et al.*, 1979] as described in *Neusüß et al.* 

[2002a]. For the determination of BC/OC, a thermographic method (Ströhlein C-mat 5500 carbon analyzer) was operated at a temperature of 590°C to volatilize the OC fraction within 8 min under nitrogen. The BC fraction of aerosol particle samples was determined by subsequent combustion at 650°C in an oxygen atmosphere. For a detailed explanation of the method used for the evaluation of BC/OC during the INDOEX cruise, as carried out by the research group from the Institute for Tropospheric Research, Germany, refer to Neusüß et al. [2002a]. It is important to mention that, although there are several methods to determine separately OC and BC, there is no technique that is commonly accepted. Methods currently in use include extraction and thermodesorption methods, with the latter method having the advantage of being less labor intensive. Comparison experiments among the different techniques for BC/OC yield sufficient comparability of total carbon (TC) values but a wide spread in results of OC and BC determinations. [Cadle and Mulawa, 1990; Countess, 1990; Shah and Rau, 1991; Schmid et al., 2001]. The method used for the determination described here typically leads to higher BC/OC ratios compared to related techniques (i.e., provides a lower limit for OC and an upper limit for BC), but it has the advantage of lacking positive artifacts. Quartz fiber filter sampling for the evaluation of OC show typically high positive sampling artifacts due to the absorption of volatile organic species [e.g., Turpin et al., 1994]. Such positive artifacts are expected to be low for impactor sampling, since foils have a much smaller surface than the fiber filters. Better impactor sampling efficiencies, compared to filter sampling for semivolatile particles, have been observed by Wang and John [1988] and Neusüß et al. [2002b]. This might be due to reduced aeration of collected particles on the impactor substrates compared to filter substrates, possibly over compensating losses due to the pressure drop in the impactor. However, the low pressure could lead to losses of semivolatile organic compounds during sampling, mainly for the submicrometer particle fraction. The method has not been corrected for any sampling artifacts.

### 2.3. Single-Particle Analysis

[11] Data on individual particle size and chemical composition were obtained by the research group from the University of California, San Diego using a transportable aerosol time-of-flight mass spectrometer (ATOFMS) as described in the literature [e.g., Prather et al., 1994; Noble and Prather, 1996; Gard et al., 1997]. In these instruments, the transit times for particles travelling between two scattering lasers are measured, recorded, and correlated with the individual particle aerodynamic diameters after proper instrument calibration. Chemical information for each detected particle is obtained from positive and negative ion time-of-flight mass spectra acquired in the instrument, and correlated with the aerodynamic diameter measured for each particle. Detected particles are classified into exclusive chemical categories from the mass spectral information obtained for each individual particle. Particle number concentrations for different particle classes are then evaluated by carrying out scaling procedures to account for differences in particle transmission into the ATOFMS [e.g., Hughes et al., 1999; Allen et al., 2000]. In this particular case, ATOFMS data were scaled by comparison with

number concentration data obtained with other shipboard particle sizing instrumentation (i.e., Optical Particle Counter (OPC), and a Scanning Mobility Particle Sizer (SMPS)) [*Wenzel et al.*, 2003]. A more detailed explanation on the instrumental set-up used during the campaign as well as of the types of particles observed during INDOEX is presented by *Guazzotti et al.* [2001]. All the single particle results presented herein correspond to particles with aerodynamic diameters between 0.3 and 1.0  $\mu$ m.

# 2.4. Fast-Response Acetonitrile Measurements by PTR-MS

[12] Fast-response measurements of acetonitrile were performed using Proton-Transfer-Reaction Mass Spectrometry (PTR-MS) by the research group from Institut für Ionenphysik, University of Innsbruck, Austria [Hansel et al., 1995; Lindinger et al., 1998]. PTR-MS is a chemical ionization mass spectrometry technique based on proton transfer reactions with H<sub>3</sub>O<sup>+</sup> ions for on-line measurements of organic trace gases in air. PTR-MS measurements during INDOEX-IFP have been described in detail by Sprung et al. [2001] and Wisthaler et al. [2002], thus only the essential points are outlined here. Ambient air was continuously sampled through a Teflon" PFA tube (length: 50 m; OD: 6.4 mm) from the top of the Ronald H. Brown bow tower (28m above sea surface) and led into the PTR-MS instrument. Chemical ionization of acetonitrile (producing the  $CH_3CNH^+$  ion at mass-to-charge ratio (m/z) 42) was achieved using proton-transfer-reactions with primary  $H_3O^+$  ions in a flow drift tube. Primary and product ions were mass analyzed in a quadrupole mass spectrometer and detected by a secondary electron multiplier/pulse counting system. The sensitivity for acetonitrile was calculated following the procedure outlined in detail by Sprung et al. [2001]. The instrumental background was determined by passing the ambient air through a heated platinum catalyst (350°C) scrubber. Accuracy for the acetonitrile measurements of  $\pm 30\%$  was inferred from intercomparison measurements described by Sprung et al. [2001].

#### 2.5. Aerosol Absorption Coefficient

[13] The absorption coefficients for submicrometer aerosol particles were measured at 55% RH by monitoring the change in transmission through a filter with a Particle Soot Absorption Photometer (PSAP, Radiance Research). Measured values were corrected for a scattering artifact, the deposit spot size, the PSAP flow rate, and the manufacturer's calibration as per *Bond et al.* [1999]. Values are reported at 0°C, 1013 mbar, and 550 nm. Sources of uncertainty in the PSAP measurement include noise, drift, correction for the manufacturer's calibration, and correction for the scattering artifact [*Anderson et al.*, 1999]. A quadrature sum of these errors yields absolute uncertainties of 0.38 and 2.8 Mm<sup>-1</sup> for absorption coefficients equal to 0.68 and 13 M m<sup>-1</sup>, respectively. These measurements were carried out by PMEL.

#### 3. Results and Discussion

#### 3.1. Carbon-Containing Particles With Potassium

[14] During INDOEX, the majority of detected particles with aerodynamic diameters between 0.3 and 1.0  $\mu$ m were classified as carbon-containing particles by ATOFMS single



**Figure 1.** (a) Positive and (b) negative ion mass spectra of a carbon-containing particle with potassium acquired during INDOEX. Peak identifications correspond to the most probable assignments for each particular m/z ratio.

particle analysis [Guazzotti et al., 2001]. Between 20 and 73% of the submicrometer carbon-containing particles detected with the ATOFMS contained K<sup>+</sup> as well, depending on the location considered [Guazzotti et al., 2001]. The presence of K<sup>+</sup> in submicrometer carbon-containing particles has been described to be an indicator of biomass/ biofuel combustion [e.g., Andreae, 1983; Gaudichet et al., 1995; Andreae et al., 1996; Andreae and Crutzen, 1997; Silva et al., 1999; Yamasoe et al., 2000] and/or coal combustion (D. T. Suess et al., manuscript in preparation). Potassium is not detected in emissions from light duty gasoline powered vehicles currently in use in the U.S. (mostly four-stroke engines) [Silva and Prather, 1997]. Also, submicrometer soot particles emitted from diesel engine exhaust have been reported to contain no detectable amounts of potassium [Gaudichet et al., 1995]. However, no ATOFMS data are available for the vehicle fleet currently in use on the Indian Subcontinent (mostly two-stroke engines [UNEP, 1999] for which emission data are also lacking [Dickerson et al., 2002]).

[15] ATOFMS positive and negative ion mass spectra representative of a typical carbon-containing particle with  $K^+$  are presented in Figure 1. In the positive ion mass spectrum (Figure 1a),  $C^+$ ,  $(CH_3)^+$ ,  $(C_2)^+$ ,  $(C_2H_3)^+$ ,  $(C_3)^+$ ,  $(C_3H)^+$  ions are observed, together with other ion peaks associated with hydrocarbon envelopes  $(C_nH_m)^+$ . Peaks at mass-to-charge ratios (m/z) 39  $(^{39}K^+)$  and 41  $(^{41}K^+)$  indicate the presence of  $K^+$ . The peak at m/z 18 is assigned to  $NH_4^+$ . Peaks at m/z 213 and 215 are assigned to potassium sulfate ions  ${}^{213}({}^{39}K_3SO_4)^+$  and  ${}^{215}({}^{41}K_3SO_4)^+$ , respectively. The peak at m/z 97 is assigned to  $HSO_4^-$  (Figure 1b). The presence of sulfate on carbonaceous particles can result from direct emission by combustion sources, coagulation, cloud processing, and/or condensation and oxidation of sulfur dioxide on particles. Sulfate was usually observed in the carbonaceous particles detected during INDOEX (average sulfate associations for particles with aerodynamic diameters between 0.3 and 1.0 µm were determined to be 75% for carbon-containing particles with K<sup>+</sup>) [Guazzotti et al., 2001]. In the negative ion mass spectra of these particles, carbon ion clusters, such as  $C^-$ ,  $(C_2)^-$ ,  $(C_3)^-$ , and  $(C_4)^-$ , were periodically observed.



**Figure 2.** Spatial distribution of carbon-containing particles with potassium (aerodynamic diameter between 0.3 and 1.0  $\mu$ m) along the cruise track of leg 2 of the NOAA R/V Ronald H. Brown. Regions A–F along the cruise track, impacted by different air mass source regions, are indicated together with typical 7-day back trajectories (ending at 950 hPa) indicative of the flow regimes described in the text.

[16] Particles are classified as carbon-containing with K<sup>+</sup> by carrying out exclusive searches in a Matlab<sup>®</sup> based database where threshold values (ion area, relative ion area, mass-to-charge ratios, etc.) for specific ions are specified. In this study, a relative area of greater than 10% for the peak at m/z 39 (<sup>39</sup>K<sup>+</sup>) is used for identification. The presence of a combination of at least two peaks at mass-to-charge ratios 12 (C)<sup>+</sup>, 36 (C<sub>3</sub>)<sup>+</sup>, 48 (C<sub>4</sub>)<sup>+</sup>, 60 (C<sub>5</sub>)<sup>+</sup>, and 72 (C<sub>6</sub>)<sup>+</sup> with areas higher than 40 (arbitrary units) is required for these particles to be classified as carbon-containing with K<sup>+</sup>. Particles cannot be classified into more than one class (such as sea salt, dust, carbon-containing with no  $K^+$ , etc.), therefore making the chemical classes exclusive. Once the particles are classified, their temporal evolution is evaluated and compared with results obtained with other techniques. In this classification scheme, no requirements are imposed in terms of ions that indicate the presence of sulfate and/or chloride, although one or both ions were observed in almost all of the mass spectra of the carbon-containing particles with  $K^+$ . It has been previously shown that the presence of chloride and/or sulfate in combustion related particles depends on the temperature of formation of the particles as well as their aging process [e.g., Gaudichet et al., 1995; Ruellan et al., 1999; Liu et al., 2000]. For example, in the case of particles produced from biomass burning, Gaudichet et al. [1995] have indicated that near the emission sources more chlorine occurs in the observed soot particles than those collected farther downwind from the sources, indicating the evolution from KCl to K<sub>2</sub>SO<sub>4</sub>.

[17] Figure 2 shows the spatial distribution of carboncontaining particles with  $K^+$  along the cruise track for leg 2 of the INDOEX cruise. The cruise track was divided into 6 regions based on the geographical origin of the sampled air masses. These regions follow the classification of *Ball et al.* [2003] and are based on back trajectory analysis [*Quinn et al.*, 2002]. The back trajectories were calculated using the Hybrid Single-Particle Langrangian Integrated Trajectory model (HY-SPLIT 4) [Draxler, 1991; Draxler and Hess, 1998]. The six regimes encountered during leg 2 of the cruise, as indicated in Figure 2, are (A) Northern Hemisphere Continental Tropical (NHcT) (Indian Subcontinent air mass), (B) Northern Hemisphere Continental Extratropical (NHcX) (Arabian Peninsula air mass), (C) Mixed Northern Hemisphere Continental (mixed NHc) (Arabian/ Indian Subcontinent air mass), (D) Northern Hemisphere Maritime Equatorial (NHmE) (Northern Indian Ocean air mass), (E) Southern Hemisphere Maritime Equatorial (SHmE) (Southern Indian Ocean air mass), and (F) Northern Hemisphere Maritime Equatorial (Northern Indian Ocean air mass). Detailed explanations on the regimes described above are presented by Ball et al. [2003] and Mühle et al. [2002]. An overview of the regional meteorological circumstances during INDOEX-IFP is given by Verver et al. [2001].

[18] The number concentration of carbon-containing particles with  $K^+$  was highest for the time period DOY 65.07-67.54, which corresponds to Region A (moving from 6.1°N 71.3°E to 13.8°N 68.6°E). Region A was the most polluted based on the overall particle loading, aerosol optical depth, and trace gases mixing ratios [de Laat et al., 2001; Guazzotti et al., 2001; Ball et al., 2003; Mühle et al., 2002, Neusüß et al., 2002a; Quinn et al., 2002; Wisthaler et al., 2002]. In the northernmost region, Region B (DOY 68.08-69.85, moving from 15.1°N 69.4°E to 19.0°N 67.1°E), a decrease in the number concentration of carbon-containing particles with  $K^{\dagger}$ was observed. During this period, the winds were mostly from the north. Back trajectories show general subsidence starting at 200-400 mbar above the Arabian Peninsula six days upwind subsiding to 950 mbar just one or two days before reaching the R/V Ronald H. Brown [Quinn et al., 2002]. In this region, an increase in the number concentration of dust particles [Guazzotti et al., 2001] and in the mass concentration of nss Ca<sup>2+</sup> and ash (noncombustible mineral dust) [Ball et al., 2003] was observed, indicating that the overall aerosol chemical composition had an influence from dust particles being transported from the Middle East (K. R. Coffee et al., manuscript in preparation). In the southernmost locations, a decrease in the number concentration of carbon-containing particles with K<sup>+</sup> was observed (Region E, DOY 78.59-79.50). During that time period, the sampled air had no continental influence for 6 to 7 days, with the ITCZ located at approximately 12°S [Ball et al., 2003].

# **3.2.** Comparison of Single Particle Results to Other Particle- and Gas-Phase Data sets

[19] In Figure 3, the observed temporal evolution of the number concentration of carbon-containing particles with K<sup>+</sup> is compared with the corresponding evolution of the mass concentration of submicrometer nss K<sup>+</sup> measured by two different research groups (PMEL and UMD) and the gasphase acetonitrile mixing ratio. The same general trends are observed for both the particle- and gas-phase. The highest number concentration of carbon-containing particles with K<sup>+</sup>, mass concentration of submicrometer nss K<sup>+</sup>, and acetonitrile mixing ratio occurred during the time period DOY 65.07–67.54, Region A (average values 62 (±16) cm<sup>-3</sup>, 0.35 (±0.14)  $\mu$ g m<sup>-3</sup>, and 276 (±9) pptv respectively). The



Figure 3. Temporal evolutions of submicrometer nss potassium mass concentration (PMEL and UMD), number concentration of carbon-containing particles with potassium, and acetonitrile mixing ratio observed during leg 2 of the INDOEX cruise.

average values for the different regions are summarized in Table 1. In the northernmost locations (Region B, DOY 68.08-69.85), a decrease is evident in all values (average values 7 (±2) cm<sup>-3</sup>, 0.017 (±0.012)  $\mu$ g/m<sup>3</sup>, and 178 (±18) pptv) (see Table 1). As indicated, during this time the winds were mostly from the North, with air masses having an influence from the Arabian Peninsula. In the southernmost locations, when the sampled air mass had no continental influence for at least 6 to 7 days, decreases in the number concentrations of all species were observed. Average concentrations decreased to their minimum observed values for the time period DOY 78.59-79.50 (see Table 1). Average number concentrations for particles with optical diameters between 0.3 and 1.0 µm, as determined by an Optical Particle Counter (OPC) (Particle Measuring Systems, Inc.) aboard the R/V Ronald H. Brown, are also presented in Table 1 for comparison purposes. As shown in the Table, the same general trends discussed above apply to the overall number concentration of submicrometer particles. When compared to the values determined with the OPC, carbon-containing particles with K<sup>+</sup> represented 63 and 58% of the submicrometer particles in Regions A and B, respectively. Aerosol number size distributions measured during the research cruise using a differential mobility particle sizer (DMPS) and an aerodynamic particle sizer (APS) are presented in Bates et al. [2002].

[20] Results from comparisons between submicrometer nss K<sup>+</sup> mass concentration measured by two different research groups (PMEL and UMD) show a high correlation factor between results ( $r^2 = 0.91$ ), indicating that no particular contamination or interference was experienced. The mass concentration values reported by UMD are consistently lower than those from PMEL due to the different size-cuts used for the corresponding evaluations (0.74 µm and 1.0 µm respectively, see section 2.1). Mass concentration values of submicrometer nss K<sup>+</sup> are highly correlated with the number concentration of carbon-containing particles with K<sup>+</sup> detected with ATOFMS ( $r^2 = 0.92$ ). The high correlation indicates that most of the submicrometer nss K<sup>+</sup> was associated with carbonaceous material. A high correlation factor ( $r^2 = 0.84$ ) is found between the number concentrations of carbon-containing particles with K<sup>+</sup> and gas-phase acetonitrile mixing ratios. Such good agreement is unexpected since deposition effects that govern the particle-phase would usually prevent the observation of a high correlation between gas- and particle-phase measurements of associated or related species. In particular, removal of particles from the lower troposphere due to precipitation has to be taken into consideration when comparing these results. Most of the data presented here were collected during time periods when no precipitation events occurred at the sampling site. Rain was encountered only near the ITCZ, where overall concentrations were low, and only a limited number of rain events were experienced during the air mass transport from the source. Lack of rain is typically experienced during the winter monsoon season [e.g., Rasch et al., 2001]. The high correlation obtained for the number concentrations of submicrometer carbon-containing particles with K<sup>+</sup> and the mixing ratios of acetonitrile most likely indicates that both arise from the same, related, or proximate sources. The correlation between the number concentration of carboncontaining particles with K<sup>+</sup> and the measured submicrometer absorption coefficient is evaluated as well. The strong correlation ( $r^2 = 0.92$ ) indicates that submicrometer carboncontaining particles with  $\boldsymbol{K}^{\!\!+}$  are most likely the major contributors to the observed absorption. Neusüß et al. [2002a] arrived at similar conclusions from absorption and BC mass concentration measurements. Satheesh et al. [1999] suggested that BC from combustion sources is responsible for the strong absorption observed in this area.

Table 1	. Average Valı	tes Obtained f	or Different Air Masses and H	Flow Regimes	a						
Region	Day of Year	Flow Regime <sup>b</sup>	Air Mass Type <sup>c</sup>	Absorption Coefficient, $M m^{-1}$	Nss $K^{+,d}_{-3}$ $\mu g m^{-3}$	Acetonitrile, pptv	CcwK, <sup>e</sup> cm <sup>-3</sup>	Nss K <sup>+</sup> /BC	Nss SO <sub>4</sub> <sup>2-</sup> /BC	BC/OC	Number Concentration, <sup>f</sup> cm <sup><math>-2</math></sup>
A	65.07 - 67.54	NHcT	Indian Subcontinent	11.4 (4.3)	0.35(0.14)	276 (9)	62 (16)	0.4 (0.2)	7 (3)	1.5(0.6)	99 (25)
В	68.08 - 69.85	NHcX	Arabian Peninsula	0.97 (0.18)	0.017 (0.012)	178 (18)	7 (2)	$0.18^{g}$	$11.8^{g}$	$0.22^{g}$	12 (3)
C	71.57 - 76.04	Mixed NHc	Arabian/Indian Subcontinent	6.4 (2.4)	0.24 (0.09)	248 (31)	39 (12)	0.5 (0.2)	9 (4)	1.2 (0.5)	55 (16)
D	76.59 - 78.04	NHmE	Northern Indian Ocean	2.0(0.4)	0.063(0.018)	165(9)	14 (4)	0.62(0.04)	11 (4)	1.59 (0.02)	23 (6)
Щ	78.59-79.50	SHmE	Southern Indian Ocean	0.50(0.18)	0.0096 (0.0026)	132 (9)	3 (1)	N/A	N/A	N/A	12 (5)

<sup>a</sup>Values in parenthesis correspond to one standard deviation.

Northern Hemisphere Continental Tropical; NHcX = Northern Hemisphere Continental Extra-Tropical; NHc = Northern Hemisphere = Southern Hemisphere Maritime Equatoria <sup>b</sup>Flow regime classification as presented by *Ball et al.* [2003]: NHcT = Continental; NHmE = Northern Hemisphere Maritime Equatorial; SHmE = S

24 (11)

1.6(0.4)

13 (4)

0.6 (0.2)

15 (7)

176 (18)<sup>1</sup>

0.098 (0.035)

3.5 (2.1)<sup>h</sup>

Northern Indian Ocean

NHmE

79.54 - 82.04

ſΞ

°Air Mass Type classification as presented by *Quinn et al.* [2002] <sup>d</sup>Data from NOAA, Pacific Environmental Laboratory (PMEL).

<sup>e</sup>CcwK = carbon-containing particles with potassium.

As determined with an Optical Particle Counter (OPC)

<sup>g</sup>Only one data point available for BC







Type I

carbon-containing particles of (a) Type I, and (b) Type II. Peak identifications correspond to the most probable assignments for each particular m/z ratio.

Absorption due to aerosols in different regions sampled during INDOEX is discussed in detail in previous publications [e.g., Clarke et. al, 2002; Neusüß et al. 2002a; Quinn et al. 2002].

### 3.3. Further Classification of Carbon-Containing **Particles With Potassium**

# 3.3.1. Carbon-Containing Particles With K<sup>+</sup> and Lithium

[21] ATOFMS single particle analysis allows for the identification of specific chemical species and combinations of species present in detected particles. In the case of carbon-containing particles with potassium, the presence of certain species can be used as an indication of the original source of the detected particles. From the characteristic mass spectra obtained for carbon-containing particles with K<sup>+</sup>, two major subclasses are identified, and their contributions to the aerosol chemical composition are evaluated. The main characteristics of the two subclasses are shown in Figure 4. For comparison purposes, only positive ion mass spectra of the different particle types up to m/z 100 are presented in Figure 4 since the presence of specific ion clusters in the positive ion mass spectra are used for the subclassification. In most cases, the mass spectra were very reproducible (i.e., the major ion peaks were almost identical), indicating that the detected particles had originated from the same sources, or very similar sources in different locations, and/or that these particles had undergone similar aging processes. The positive ion mass spectrum presented in Figure 4a was obtained for a carbon-containing particle with  $K^+$  denoted as Type I. The presence of  $K^+$  is identified by peaks at m/z 39 and 41 ( ${}^{39}K^{+}$  and  ${}^{41}K^{+}$ ). Also a peak at m/z 18, due to  $NH_4^+$ , appears in the respective positive ion mass spectrum. High concentrations of ammonium have been indicated for aerosol produced from biomass/biofuel

a)



**Figure 5.** Contribution from carbon-containing particles with potassium and lithium (Type II) to the total number of carbon-containing particles in different regions sampled during INDOEX.

burning [e.g., Andreae and Crutzen, 1997]. Peaks at m/z 12, 24, 36, and 48, assigned to  $C^+$ ,  $(C_2)^+$ ,  $(C_3)^+$ , and  $(C_4)^+$ , respectively, occur as well. In Figure 4b, a typical positive ion mass spectrum for a carbon-containing particle with K<sup>+</sup> of Type II is presented. The main difference with respect to particles of Type I is the presence of lithium (Li<sup>+</sup>) at m/z 7 (and a peak due to sodium, Na<sup>+</sup> at m/z 23, with much higher relative intensity).

[22] The presence of lithium in carbonaceous particles could be an indicator of coal combustion. In combustion characterization experiments, lithium was almost never found in the single particle mass spectra of particles produced from biomass/biofuel burning (<0.3%) but it was commonly found in particles produced by coal combustion processes (D. T. Suess et al., manuscript in preparation). The characterization studies described by Suess et al. included several biomass/biofuel and coal sources relevant to the INDOEX study, which were analyzed by ATOFMS single particle analysis as well as by impactor bulk chemical analysis, therefore providing consistent data sets for comparison with the results obtained during the field campaign. Some of the biomass/biofuel sources investigated (of Bangladesh origin) included synthetic logs, dried coconut tree leaves, dried rice straw, and dried cow dung. Coal products studied included chunk coal from Bangladesh, China, India, and USA. It is important to mention that the studied chunk coals were ignited in a brick kiln, therefore producing combustion characteristics close to those commonly encountered in small-scale industrial processes and/or domestic use in India. As indicated by Reddy and Venkataraman [2001a], domestic coal combustion processes result in higher particle emissions than industrial ones, due to the lower temperatures used during combustion. Lithium can also be found in dust particles; however, the chemical characteristics of the dust particles are quite different from those arising from fossil fuel combustion sources (see, e.g., Guazzotti et al., 2001; K. R. Coffee et al., manuscript in preparation).

[23] Figure 5 shows the relative contribution of carboncontaining particles with  $K^+$  and  $Li^+$  (Type II) to the total carbon-containing particles. An increase in the contribution of Type II particles was observed for the period DOY 68.08-69.85 (Region B) along with a decrease in the contribution from particles of Type I. As mentioned, during this time period, the sampled air mass had an influence from the Arabian Peninsula. During this time, not only did the overall number concentration of carbon-containing particles with K<sup>+</sup> decrease, but a change in the chemical composition of observed particles occurred as well. Also, low values for the mass concentrations of submicrometer nss K<sup>+</sup> and gasphase mixing ratios of acetonitrile are observed in this region.

3.3.2. Carbon-Containing Particles Without Potassium [24] Not all submicrometer carbonaceous particles identified with the ATOFMS contain detectable amounts of potassium [Guazzotti et al., 2001]. The detection limit for potassium in a single particle by ATOFMS is approximately  $3 \times 10^{-18}$  g, this value being one of the lowest determined for several elements [Silva and Prather, 1997; Gross et al., 2000]. The relative contribution of carbon-containing particles with no detectable potassium to the total number of carbon-containing particles as observed in different regions is shown in Figure 6. Average contributions are in the range between 19 ( $\pm 6$ )% and 48 ( $\pm 18$ )%. These carbonaceous particles probably derived from the combustion of fossil fuels that contain little or no potassium, such as diesel. In Region B (Arabian influence), the contribution from carbonaceous particles with no detectable potassium (to the total number of carbon-containing particles) is higher than for the other regions. As mentioned above, this could be indicative of a change in the contribution from fossil fuel combustion emissions.

#### 3.4. Single Particle Source Apportionment Estimates for the Contributions From Fossil Fuel Combustion and Biomass/Biofuel Burning to the Total Carbonaceous Aerosol

[25] Taking into consideration the results presented here, an attempt is made to estimate the relative contributions to the carbonaceous aerosol from particles emitted from biomass/biofuel burning with respect to those emitted from fossil fuel combustion. In order to estimate the contribution from particles emitted from biomass/biofuel burning, the



**Figure 6.** Contribution from carbon-containing particles (with no detectable amount of potassium) to the total number of carbon-containing particles in different regions sampled during INDOEX.



**Figure 7.** Single particle estimates of relative contributions from biomass/biofuel burning and fossil fuel combustion to the carbonaceous aerosol chemical composition in different regions. The contributions are evaluated as percentage of total carbon-containing particles with aerodynamic diameters between 0.3 and 1.0  $\mu$ m.

proportion of carbon-containing particles with potassium and lithium (coal) is subtracted from the corresponding contribution from total carbon-containing particles with potassium. The relative contribution from particles emitted from fossil fuel sources is defined as the sum of the average contributions from carbon-containing particles with no detectable potassium (vehicular emissions and/or coal) and those from carbon-containing particles with potassium and lithium (coal). In these calculations, it is assumed that (1) all detected carbon-containing particles with potassium and lithium originate from coal combustion; (2) all carboncontaining particles with no detectable amount of potassium originate from vehicular emissions or other fossil fuel combustion sources that do not produce detectable amounts of potassium (e.g., particles emitted from coal combustion sources that do not contain potassium); and (3) all carboncontaining particles with potassium that do not contain lithium originate from biomass/biofuel burning sources.

[26] The estimates of relative contributions from particles emitted from biomass/biofuel burning and fossil fuel combustion are presented in Figure 7. A substantial change is observed in Region B (Arabian Influence) where the relative contribution of particles emitted from fossil fuel combustion is the highest observed during leg 2, with an average value of 63  $(\pm 19)$ %. This is in agreement with previously discussed results (section 3.2), where the dominance of fossil fuel has been indicated for that region. For the other regions (A, C-F), the contribution of particles emitted from fossil fuel combustion sources varied between 20 and 34%, with an average value of 26  $(\pm 9)$ %. For these regions, the estimated average contribution to the total carbonaceous particles from particles emitted from biomass/biofuel sources varied between 73 to 79%, with an evaluated average of 74  $(\pm 9)\%$ .

[27] *Reddy and Venkataraman* [2001b] have indicated that for the INDOEX period (1998–1999), biomass/biofuel combustion was the major source of carbonaceous aerosols, based on an aerosol emission inventory for India for 1996–

1997. They have estimated that biomass/biofuel burning accounts for 71% of the black carbon emissions and 76% of the organic matter emissions. These estimates for biomass/ biofuel contributions are higher than those previously reported for 1990 [Reddy and Venkataraman, 2000]. The analysis presented by Dickerson et al. [2002] indicates that 58-88% of the BC arises from biomass/biofuel burning. Our estimates, which correspond to the contributions in number of carbonaceous particles as determined during the field experiment in 1999, are in good agreement with the ones reported by Reddy and Venkataraman [2001b] and Dickerson et al. [2002]. From results obtained during three INDOEX research flights, Novakov et al. [2000] have estimated the contribution (in mass) of fossil fuel combustion to the carbonaceous aerosol to be approximately 80% using measured BC/TC ratios (TC = OC + BC) for their estimations. In our case, the contributions from different particle classes (with different chemical characteristics) to the carbonaceous aerosol chemical composition are considered in the evaluation. The difference in results could be due to changes in the sampled air masses as well as in the actual sampling platform locations and times [Clarke et al., 2002]. As mentioned, the results presented by Novakov et al. [2000] were derived from measurements on the C-130 aircraft, whereas the results presented here were obtained on board the R/V Ronald H. Brown. Variability in air masses transport (e.g., long-range transport at high altitudes) and aerosol vertical structure could explain the different estimates. During the INDOEX sampling period, multiple particle layers of variable height and extension have been determined by a six-wavelength lidar [Müller et al., 2001a], showing vertical variability in aerosol properties [Müller et al., 2001a, 2001b]. Measurements by a micropulse lidar system have shown that, during leg 2 of the INDOEX cruise, the marine boundary layer (MBL) was usually located below 1000 m with an aerosol layer aloft [Welton et al., 2002]. Therefore the presence of a distinct aerosol layer above the MBL, with different chemical characteristics, could be expected. Also, it has been indicated that during INDOEX, the biomass/biofuel burning influence could have been stronger in the marine boundary layer than in the free troposphere [*Reiner et al.*, 2001], due to differences in the dominant aerosol sources near the surface and at higher altitudes [Rasch et al., 2001]. Meteorological conditions experienced during INDOEX-IFP can help explain the difference in outflow characteristics between the lower troposphere and the layers above the marine boundary layer [Verver et al., 2001]. Also, temporal variations in the biomass/biofuel and fossil fuel contributions to BC have been reported for a surface site in Goa, India [Alfaro et al., 2002]. Based on measured nss K<sup>+</sup> and BC concentration values, and assuming a nss K<sup>+</sup>/BC ratio of 0.52 for biomass/biofuel burning, Alfaro et al. [2002] estimated an increased biomass/biofuel influence in the surface site after 10 March 1999 (e.g., as much as 70% of the BC was estimated to arise from biomass/biofuel burning around 23 March versus only 30% for early March 1999).

[28] The calculated contributions of carbonaceous particles from biomass/biofuel burning should be considered as upper estimates, in particular for Region B. There is a possibility that the contributions from carbon-containing particles with  $K^+$  that do not contain  $Li^+$ , arising from local

coal sources, are higher than those evaluated from source characterization studies (D. T. Suess, manuscript in preparation). Also, it could be possible that the ATOFMS technique was unable to detect the presence of Li<sup>+</sup> in some carbon-containing particles with K<sup>+</sup> that contained Li<sup>+</sup> in trace amounts below the detection threshold. The presence of specific markers in the mass spectra of individual particles, such as Li<sup>+</sup>, can be used to refine the estimates, but further characterization studies of single particles produced from combustion processes are necessary for proper assessment. The results presented here for the assignment of possible particle sources from characterization studies represent a first step in the ultimate goal of using single particle signatures for source apportionment. The high correlation found for the number concentration of submicrometer carbon-containing particles with K<sup>+</sup> and the mixing ratio of acetonitrile also indicates that the majority of the carboncontaining particles with K<sup>+</sup> most likely arise from biomass/ biofuel burning. Results from other measurements (e.g., trace gases, nonmethane hydrocarbons, CO, CO isotopic ratios [Mühle at al., 2002; Wisthaler et al., 2002]) and source analysis (e.g., source analysis for CO [de Laat et al., 2001]) further support the conclusions presented for leg 2 of the INDOEX cruise.

# 3.5. Ratios Between Chemical Species in Different Regions

[29] Ratios between different chemical species, for the regions described in the text, are evaluated and presented in this section. Their values are discussed as indications of probable sources (i.e., biomass/biofuel burning and fossil fuel combustion) and compared, when appropriate, with results presented in previous sections.

#### 3.5.1. Nss K<sup>+</sup>/BC Ratio

[30] The average submicrometer nss K<sup>+</sup>/BC ratios are evaluated for the different regimes from results of submicrometer mass concentration of BC and nss K<sup>+</sup>, as described in sections 2.1 and 2.2 respectively. For the time period DOY 65.07-67.54 (Region A) the average value is determined to be 0.4, whereas for the time period DOY 68.08-69.85 (Region B), the nss  $K^+/BC$  ratio has an average value of 0.18. Higher nss  $K^+/BC$  ratios in the range between 0.5 and 0.62 are obtained for the remaining regions (Table 1). The relatively high nss K<sup>+</sup>/BC ratios indicate that the sampled air masses were probably impacted by biomass/ biofuel burning. Reported values for K<sup>+</sup>/BC ratios obtained from biomass/biofuel burning are usually in the range between 0.2 and 1.1 depending on the type of fire, the sampled region, and the size of the particles considered for the evaluation [e.g., Andreae, 1983; Ferek et al., 1998; Maenhaut et al., 1996; Reid et al., 1998; Yamasoe et al., 2000; Andreae and Merlet, 2001]. In urban areas, the encountered nss K<sup>+</sup>/BC ratios are low (0.025 to 0.09 in the US) [Stevens et al., 1980; Andreae, 1983]. For urban, industrial, and rural areas in Pakistan, Smith et al. [1996] have reported K<sup>+</sup>/BC ratios of 0.23 for particles with diameters smaller than 10  $\mu$ m. It has been previously reported that fossil fuel combustion generates little potassium [Andreae, 1983], with  $K^+/BC$  ratios for fuel oil combustion being as low as 10<sup>-5</sup> [Winchester and Nifong, 1971]. Diesel and gasoline engines also produce only small amounts of K<sup>+</sup> [Andreae, 1983]. The low submicrometer nss  $K^+/BC$  ratio (0.18) found in the Arabian air mass (Region B) could be indicative of an increase in the contribution from fossil fuel combustion. A decrease in the relative NH<sup>+</sup><sub>4</sub> concentration has been reported as indicative of a decrease in the relative contribution of particles from biomass/biofuel burning in this region [Ball et al., 2003]. The contribution to BC from biomass/biofuel burning can be estimated using measured nss K<sup>+</sup> and BC values and a typical nss K<sup>+</sup>/BC ratio value of 0.52 ( $\pm$ 0.11) for biomass/biofuel burning (at the source) [Cachier et al., 1991; Ferek et al., 1998], in a similar manner to that carried out by Alfaro et al. [2002] (i.e., contribution to BC form biomass/biofuel =  $100*((nss K^+/0.52)/BC)))$ . Results from this evaluation yield biomass/biofuel burning contributions to BC of 77% for Region A, 35% for Region B, and 96% for Region C. These values, as well as the corresponding nss  $K^+/BC$  ratios, are consistent with the estimates for the contributions from biomass/biofuel burning presented in section 3.4.

#### 3.5.2. Acetonitrile/CO Ratio

[31] As mentioned, acetonitrile is a unique, long-lived tracer for biomass/biofuel burning [e.g., Lobert et al., 1990; Holzinger et al., 1999; Bange and Williams, 2000]. Industrial emissions and fossil fuel combustion are only minor sources of acetonitrile [e.g., Arijs and Brasseur, 1986; Holzinger et al., 2001]. CO is a general marker for incomplete combustion including fossil fuel combustion and biomass/biofuel burning. The evaluation of the acetonitrile/CO enhancement ratio ( $\Delta CH_3CN/\Delta CO$ ) for different air masses encountered during leg 2 of the INDOEX cruise is presented in detail by Wisthaler et al. [2002]. Since both trace gases are relatively long-lived, the observed  $\Delta CH_3 CN/\Delta CO$  enhancement ratio is expected to reflect the source characteristic emission ratio. In air masses from Western India (part of Regions A and C), a  $\Delta CH_3CN/\Delta CO$ enhancement ratio of 0.0024 was observed. Laboratory studies of controlled biomass fires covering a large variety of different types of biofuel [Lobert et al., 1991] yielded a mean primary molar emission ratio  $\Delta CH_3CN/\Delta CO$  of 0.0025. The strong correlation between CO and acetonitrile  $(R^2 = 0.87)$  and the similarity of enhancement ratio to the primary emission ratio observed by Lobert et al. [1991] indicate that biomass/biofuel burning most likely dominated CO emissions in these regions, which is in agreement with results presented in section 3.4. In the Arabian air mass (Region B), acetonitrile mixing ratios decreased to southern hemispheric background values (see Figure 3) and were not correlated with increasing CO levels [Stehr et al., 2002]. These findings suggest that fossil fuel combustion was the primary source of CO in the Arabian air parcels. From results of single particle apportionment, high contributions from particles emitted from fossil fuel combustion were observed in this region as well (section 3.4).

### 3.5.3. BC/OC Ratio

[32] As shown in Table 1, high BC/OC ratios for submicrometer particles, in the range between 1.55 and 1.61, were found in all regions with exception of Region B (0.22). These ratios are higher than normally expected solely from biomass/biofuel burning or fossil fuel emissions. For example, from the PM<sub>2.5</sub> emission inventory for India [1990] provided by *Reddy and Venkataraman* [2000], a BC/OC ratio of 0.18–0.27 for fossil fuels, and 0.08–0.22

for biomass/biofuel burning can be derived. From the data compiled by Andreae and Merlet [2001] BC/OC ratios of 0.07-0.3 can be determined for various types biomass/ biofuel burning. It has been suggested that the high BC/OC ratio observed during INDOEX could mostly be due to emissions of black carbon from fossil fuel combustion processes [Novakov et al., 2000]. High BC emissions are expected for emissions from diesel engines [Kleeman et al., 2000] and certain coal combustion processes (D. T. Suess et al., manuscript in preparation). Some investigators have indicated that known emission factors for fossil fuel cannot account for the high BC concentration values encountered in the region [Dickerson et al., 2002]. In India, biomass/ biofuel combustion is considered a major source for carbonaceous aerosol, accounting for 71% of the total BC emissions [Reddy and Venkataraman, 2001b]. It is reasonable to expect a substantial amount of BC to originate from biomass/biofuel burning since a positive correlation between BC and CO has been observed in South Asia [Dickerson et al., 2002], and source analysis of CO pollution has found biofuel and agricultural waste burning to be major sources of CO in the region [de Laat et al., 2001]. As previously mentioned, due to the technique employed, the BC concentration values presented here are considered upper limits (see section 2.2) [Chow et al., 2001].

### 3.5.4. Nss $SO_4^2$ /BC Ratio

[33] The ratios between submicrometer nss sulfate and BC (nss  $SO_4^{2-}/BC$ ) are evaluated for the different regimes, and the results are summarized in Table 1. The large average values obtained for this ratio for all regions are indicative of the importance of direct emissions and aged particles. Generally, nss  $SO_4^{2-}$  is used as tracer for fossil fuel combustion, since only small emissions of sulfate are normally reported for biomass/biofuel burning [e.g., Crutzen and Andreae, 1990; Thornton et al., 1999]. Based on the sulfate mass content, a substantial fraction of the total (not only carbonaceous) aerosol mass sampled during INDOEX has been reported to be due to fossil fuel combustion [Reiner et al., 2001; Lelieveld et al., 2001]. However, differences in the estimates of sulfur emissions due to biofuel sources in India are substantial, in particular for cattle dung-cake which produces  $SO_2$  emissions higher than other biofuel sources [Arndt et al., 1997; Smith et al., 2000; Reddy and Venkataraman, 2001b]. Also, it has been indicated that biofuel burning can be the dominant contributor to regional SO<sub>2</sub> emissions in a number of developing countries [Streets and Waldhoff, 1999]. Sulfur emissions from shipping vessels have been indicated as possible important contributors to SO<sub>2</sub> emissions as well [Streets et al., 2000; Mayol-Bracero et al., 2002]. This could also account for the higher nss  $SO_4^{2-}/BC$  ratios determined from the samples collected aboard the R/V Ronald H. Brown when compared with those from the NCAR C-130 aircraft (e.g., ratios between 1.3 and 2.8 have been reported for different flights) [Novakov et al., 2000; Clarke et al., 2002; Mayol-Bracero et al., 2002].

#### 4. Conclusions

[34] Results obtained by traditional standardized aerosol particle chemical analysis, real-time single-particle analysis,

and fast-response gas-phase PTR-MS reflect the impact of different meteorological regimes and air masses encountered during leg 2 of the R/V Ronald H. Brown INDOEX cruise. Low overall concentrations are found in the southernmost regions sampled where the air masses did not have any recent land influence. High values for concentration of submicrometer nss K<sup>+</sup>, carbon-containing particles with K<sup>+</sup>, acetonitrile mixing ratio, and submicrometer nss K<sup>+</sup>/EC ratios are observed in air masses advected from India. Results from an extended set of measurements imply a high contribution to carbonaceous aerosols from biomass/biofuel burning (accounting for approximately 75% of the carbon-containing particles), even in areas far from sources, showing the possibility of long-range transport (up to 7 days, as indicated by back trajectory analysis).

[35] In air parcels from the Arabian Peninsula, the overall number concentration of carbon-containing particles with  $K^+$ , the submicrometer nss  $K^+$  mass concentration, and the acetonitrile mixing ratio decreased resulting in a smaller nss  $K^+/EC$  and acetonitrile/CO ratio. These findings indicate a reduced biomass/biofuel burning impact and a higher contribution from fossil fuel combustion. A higher relative contribution from carbon-containing particles with K<sup>+</sup> and Li<sup>+</sup> indicate a higher relative contribution of carbonaceous particles from coal combustion derived particles, since carbon-containing particles with potassium and lithium have been observed in related source characterization studies. Also, carbon-containing particles with no detectable amount of potassium were enhanced in this region, indicating a stronger impact from fossil fuel combustion. Future studies involving further chemical characterization from different sources will be essential for minimizing some of the uncertainties, allowing for proper assessments of Asia's pollution impact on regional and global scales.

[36] Acknowledgments. This work was supported in part by: the National Science Foundation via the Center for Clouds Chemistry and Climate (C<sup>4</sup>) at the Scripps Institution of Oceanography under Grants ATM-9612887 and ATM-9405024; the Aerosol Project of the NOAA Climate and Global Change Program; the Global Aerosol Climatology Project of the NASA Earth System Science Program; and the Bundesministerium für Bildung, Wissenschaft, Forschung und Technik (BMBF) under contract LA-9830/0. We thank the captain and crew of the NOAA R/V Ronald H. Brown for their help and support.

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